

# Convergent adaptive evolution in marginal environments: unloading transposable elements as a common strategy among mangrove genomes

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## Summary

- Several clades of mangrove trees independently invade the interface between land and sea at the margin of woody plant distribution. As phenotypic convergence among mangroves is common, the possibility of convergent adaptation in their genomes is quite intriguing.
- To study this molecular convergence, we sequenced multiple mangrove genomes. In this study, we focused on the evolution of transposable elements (TEs) in relation to the genome size evolution. TEs, generally considered genomic parasites, are the most common components of woody plant genomes. Analyzing the long terminal repeat-retrotransposon (LTR-RT) type of TE, we estimated their death rates by counting solo-LTRs and truncated elements.
- We found that all lineages of mangroves massively and convergently reduce TE loads in comparison to their nonmangrove relatives; as a consequence, genome size reduction happens independently in all six mangrove lineages; TE load reduction in mangroves can be attributed to the paucity of young elements; the rarity of young LTR-RTs is a consequence of fewer births rather than access death.
- In conclusion, mangrove genomes employ a convergent strategy of TE load reduction by suppressing element origination in their independent adaptation to a new environment.

## Introduction

Convergent adaptation of phylogenetically distinct organisms to the same environment is a popular study subject in evolutionary biology because it provides unique mechanistic insights into the operation of natural selection (Morris, 2003). While phenotypic convergence has been extensively documented (Losos, 2011), a long-standing question has been whether convergence is typically driven by the same underlying molecular mechanisms. Occasionally, genes involved in highly specialized traits, such as echo-location and cardenolide resistance in insects, are identified, often exciting great interest (Teeling, 2009; Dobler *et al.*, 2012; Zhen *et al.*, 2012). A more ambitious task is to ask whether molecular convergence at the genomic scale may also exist. With many whole genome sequences becoming available, there have been many efforts to carry out such studies (Parker *et al.*, 2013; Foote *et al.*, 2015).

Adaptive convergence at the genomic level, however, is a noisy process as a result of the vast number of sites that can potentially evolve convergently by chance (Thomas & Hahn, 2015; Zou & Zhang, 2015). Foote *et al.* (2015) found that the convergence signal was no weaker in the genomes of a control group (terrestrial mammals) than in marine mammals independently invading the ocean. These studies thus collectively point to a crucial

requirement for detecting convergent adaptation: a high degree of environmental similarity that confers strong directional selection. Perhaps whales, manatees, and seals inhabit environments that are sufficiently disparate to produce high amounts of noise mimicking convergent evolution (Foote *et al.*, 2015). For this reason, mangroves appear to be an ideal system for studying genome-wide convergence.

Mangrove trees colonize the margin of woody plant distribution. They comprise several lineages that independently invade tropical intertidal zones, at the interface between terrestrial and marine ecosystems (Tomlinson, 1986). Mangrove species thus grow in similar environments characterized by high salinity, hypoxia, tidal fluctuations, strong ultraviolet (UV) light, and high temperature (Rothschild & Mancinelli, 2001; Giri *et al.*, 2011). To adapt to such marginal environments for woody plants, mangroves have evolved many shared adaptive traits, including salt secretion, vivipary, and aerial rooting systems (Tomlinson, 1986; Parida & Jha, 2010). The shared phenotypes reflect comparable selective pressures that might also drive genetic and genomic convergence.

To investigate the molecular basis of convergent adaptation, we sequenced or resequenced the genomes of eight mangrove species or subspecies (Xu *et al.*, 2017b; Z. He *et al.*, unpublished). At the genomic level, convergence can be manifested in many

ways. Xu *et al.* (2017a) focus on amino acid substitutions by using the newly developed convergence at conservative sites (CCS) method to suppress background noise. In this study, we focus on the composition of repetitive sequences, the mechanisms for removing them, and their potential effects on adaptation. Repetitive sequences are the main determinant of plant genome size (Petrov, 2001; Bennetzen & Wang, 2014) and transposable elements (TEs) are the key components of repetitive elements.

Transposable elements are often considered to be 'selfish' or 'parasitic' because of their ability to multiply within the host genome without providing any direct fitness benefit (Orel & Crick, 1980; Levin & Moran, 2011; Fedoroff, 2012). Indeed, previous studies on multiple lines of *Drosophila melanogaster* have demonstrated that fitness is negatively correlated with TE number (Houle & Nuzhdin, 2004; Pasyukova *et al.*, 2004; Papacit *et al.*, 2007). In plants, Vinogradov (2003, 2004a,b) found a positive correlation between genome size increase associated with TE activity and the extinction probability. TEs often proliferate faster than they can be removed, thus contributing to genome size growth (Brookfield, 2005; Lisch, 2013). Following earlier studies (Ibarra-Laclette *et al.*, 2011, 2013; Kelley *et al.*, 2014; Zhang *et al.*, 2014), we hypothesize that the trend might be reversed when the host is under long-term stress – for example, when it moves into a new habitat. We therefore analyze changes of TE load in mangroves as compared with their nonmangrove relatives.

## Materials and Methods

### Data mining of long terminal repeat retrotransposons

We collected whole genome sequences of four true mangroves (Xu *et al.*, 2017b; Z. He *et al.*, unpublished) and 29 nonmangrove plants (The Arabidopsis Genome Initiative, 2000; Tuskan *et al.*, 2006; Jaillon *et al.*, 2007; Ming *et al.*, 2008; Huang *et al.*, 2009, 2013; Chan *et al.*, 2010; Velasco *et al.*, 2010; Argout *et al.*, 2011; Dassanayake *et al.*, 2011; Hu *et al.*, 2011; Shulaev *et al.*, 2011; Wang *et al.*, 2011, 2012a,b, 2014; Xu *et al.*, 2011, 2013; Young *et al.*, 2011; Prochnik *et al.*, 2012; The Tomato Genome Consortium, 2012; Wu *et al.*, 2012; Chamala *et al.*, 2013; Hellsten *et al.*, 2013; Ibarra-Laclette *et al.*, 2013; Verde *et al.*, 2013; Dencoud *et al.*, 2014; Myburg *et al.*, 2014; Schmutz *et al.*, 2014). The four true mangroves are *Avicennia marina* (Forssk.) Vierh., *Rhizophora apiculata* Blume, *Sonneratia alba* Sm., and *Sonneratia caseolaris* (L.) Engl. Their genomic sequences are accessible from <http://evolution.sysu.edu.cn/Sequences.html>, or by contacting the authors. [Correction added after online publication 27 September 2017: the text in the previous sentence has been corrected.] Genome sequences of the 29 nonmangrove plants, representing the relatives of the four true mangroves, were downloaded from Phytozome v10.1 and other databases (Supporting Information Table S1). We used the LTRHARVEST work flow (Ellinghaus *et al.*, 2008) and LTRDIGEST (Steinbiss *et al.*, 2009) to *de novo* predict long terminal repeat retrotransposons (LTR-RTs) (Fig. S1). We required that a candidate LTR-RT be separated by 1 to 15 kb from other candidates and flanked by a pair of putative LTRs, which ranged from 100 bp to 3000 bp, with similarity > 80%.

We then annotated internal sequences of candidate LTR-RTs using *LTRdigest* and analyzed profile Hidden Markov Models of protein domains in the GyDB 2.0 database (Llorens *et al.*, 2011). If an LTR-RT candidate possessed a complete *Gag-Pol* protein sequence, it was retained as an intact LTR-RT (Fig. S1).

Unpaired LTRs, including solo-LTRs and truncated LTR-RTs, were identified based on sequence similarity to LTRs of intact LTR-RTs in each genome (Fig. S1). We saved LTR paralogous sequences from a BLASTN analysis if they showed a 90% overlap in length and a 90% identity with any true LTR sequences, in addition to an e-value cutoff of  $1e-10$ . We extracted 3 kb sequences both upstream and downstream of every detected LTR paralog to compare with the *Gag-Pol* protein sequences in the GyDB 2.0 database using tBLASTN (Fig. S1). If at least 50% of any *Gag-Pol* sequence was covered by the flanking sequences with an identity > 30% and an e-value cutoff of  $1e-8$ , the corresponding LTR was excluded from the solo-LTR list. The LTR paralogs that lacked any *Gag-Pol* homologs in both the upstream and downstream sequences were considered to be solo-LTRs, probably the remnants of unequal and illegitimate homologous recombination (Ma *et al.*, 2004; Hawkins *et al.*, 2009). In addition, those LTRs with *Gag-Pol* sequences on one side of flanking sequences were retained as truncated LTR-RTs. They may have been generated from partial deletion caused by nonhomologous end joining following double-stranded DNA breaks (Fawcett *et al.*, 2012; Chen *et al.*, 2013).

### Dating of LTR-RT insertion events

The timing of LTR-RT insertion can be estimated based on the divergence between the 5'-LTR and 3'-LTR of the same transposon because LTR pairs are strictly identical immediately after insertion has occurred. Subsequent accumulation of mutations can be used as a measure of time since insertion (Sanmiguel *et al.*, 1998). Each LTR pair was aligned using MUSCLE (v3.8.31) with default options (Edgar, 2004). We employed the Kimura two-parameter method (Kimura, 1980) with the mutation rate of  $1.3e-8$  substitutions  $yr^{-1}$  per site to calculate insertion time (Ma & Bennetzen, 2004).

### Estimation of the proportion of transposable elements

The proportion of TEs in each collected genome was estimated using the same methods and TE databases across all species to perform fair comparisons without subjective preferences. A comparative analysis of all whole genome sequences was performed based on the sequences of known TEs available in the MIPS Repeat Element Database (Mewes *et al.*, 2008), the TIGR Plant Repeat Databases (Ouyang & Buell, 2004) and Repbase (Jurka, 2000) using BLASTN (Fig. S1). Genome segments were annotated as TEs when comparative output met the following criteria: matching length  $\geq 80$  bp; identity  $\geq 80\%$ ; e-value  $\leq 1e-10$ . In addition, we used a new database consisting of all *de novo* predicted LTR-RTs from 33 plant genomes to search the LTR sequences in each genome, employing the same rules as earlier. All putative TEs were identified to mask the genomes and the proportions of TEs in each

plant genome were obtained. We performed  $t$ -tests to examine the significance of TE content differences between our four true mangroves and 29 nonmangrove relatives.

We then conducted an ancestral state reconstruction to further examine TE loads along the phylogenetic tree. The phylogenetic tree reflecting the taxonomic relationships among our sample of species was obtained from the NCBI taxonomy tool, with branch lengths among species based on estimates from TIME TREE (Hedges *et al.*, 2006). The R package PHYTOOLS was used to reconstruct and visualize TE loads at ancestral nodes in the tree (Revell, 2012).

### LTR clustering and $S : I$ value estimation

The 5'-LTR sequences of all *de novo* predicted LTR-RTs in each species were compared against each other with BLASTN. Two sequences were included in the same cluster if one LTR covered at least 70% of the length of the other, with an identity of at least 60%; this analysis was performed using the SILIX software package (Miele *et al.*, 2011). Solo-LTRs ( $S$ ) and truncated LTR-RTs ( $T$ ) were subjected to the same cluster as the most similar intact LTR-RTs ( $I$ ). Thus, LTR-RTs, solo-LTRs or truncated LTR-RTs with homologous LTR sequences would be assigned to the same 'cluster', which is similar to the concept of gene family and does not refer to physical proximity.

We used our collection of solo-LTRs and truncated LTRs to study removal rates of LTR-RTs over the past several million yr. The values of  $S + T$  and  $I + S + T$ , as well as the numbers of intact LTR-RTs, were compared between mangroves and nonmangroves using a  $t$ -test. Genome assembly quality can influence the precision of  $S : I$  and  $T : I$  estimates because an intact LTR-RT could be separated into two different scaffolds and misidentified as two independent LTRs. We progressively filtered out solo-LTRs, truncated LTR-RTs, and intact LTR-RTs anchored in short scaffolds until the  $S : I$  values stopped changing with subsequent scaffold removals to obtain accurate  $S : I$  and  $T : I$  values. When all intact LTR-RTs in one cluster were filtered, that cluster was no longer considered. The  $S : I$  or  $T : I$  ratios were calculated using the number of remaining intact LTR-RTs and solo-LTRs or truncated LTR-RTs, respectively. To evaluate the effect of LTR-RT deletions we calculated the proportions of clusters with  $S : I$  values  $> 3$  in each species, as well as proportions of LTR-RTs contained in these clusters. A  $t$ -test was used between the four true mangroves and 29 nonmangrove relatives to test the difference between the  $S : I$  and  $T : I$  ratios, including  $(S + T) : I$  ratios, and the proportions of LTR-RTs with high removal rates.

### Genome size comparison between additional mangroves and nonmangroves

Transposable element lengths and gene numbers were regressed on assembled genome sizes for all 33 collected genomes to test whether TE content could explain genome size variation. In addition, we performed a regression analysis of the proportion of TEs and actual genome sizes estimated using flow cytometry.

We used flow cytometry to estimate DNA content (Doležel *et al.*, 2007). *Lycopersicon esculentum* (*Solanum lycopersicum*) cv

'Stupické polni tyckove rané' (1C=958 Mb) (Doležel *et al.*, 1992) and *Oryza sativa* ssp. *japonica* cv 'Nipponbare' (1C=442 Mb) (Arumuganathan & Earle, 1991) were employed as reference standards to measure genome sizes of two true mangroves and seven mangrove associates.  $C$ -values of all mangroves sampled were independently estimated three times, and the mean was used to determine the genome size of the sample.

Estimated genome sizes of five Rhizophoraceae species, as well as three *Sonneratia* species, *Avicennia marina*, and *Nypa fruticans* were obtained from previous studies (Röeser *et al.*, 1997; Z. He *et al.*, unpublished). The mangroves included in this study belong to eight orders according to Angiosperm Phylogeny Group III (APG III, 2009). We also collected genome size information for all species from these orders recorded in the  $C$ -value database (Bennett & Leitch, 2012). The family was considered the smallest statistical unit for data analysis in this study.  $C$ -value data for 58 families were collected with at least one species from each family, but only families containing more than three species were available. One-tailed Wilcoxon rank sum test with continuity correction was performed to compare genome sizes of all true mangroves and their nonmangrove relatives.

## Results

In this study, we analyzed multiple genomes from the three main mangrove taxa (*Avicennia marina*, *Rhizophora apiculata*, *Sonneratia alba*, and *S. caseolaris*) based on our own work (Xu *et al.*, 2017b; Z. He *et al.*, unpublished), as well as the genomes of 29 nonmangrove species from the literature (for a complete list of citations, see the Materials and Methods section) (Table S1). We sought to understand how the TE load changes in different mangrove genomes; the potential mechanisms of TE load reduction in mangroves; and whether convergent evolution has happened with respect to the TE dynamics. To answer these questions, we compared TE prevalence and age structure, as well as the birth and death rates of TEs between nonmangrove and mangrove genomes.

### TE prevalence in true mangroves and nonmangroves

To compare TE loads between true mangrove and nonmangrove genomes, we collected repetitive sequences and proportions of TEs from published genome studies (Table S2). Approximately 52 nonmangrove species (mainly angiosperms) with reported annotations of repetitive sequences are available in public databases (<http://www.phytozome.net>; [http://genomevolution.org/wiki/index.php/Sequenced\\_plant\\_genomes](http://genomevolution.org/wiki/index.php/Sequenced_plant_genomes); up to December 2016). Comparative analyses of these data show that genomes of true mangroves harbor relatively few TE sequences (Table S2). However, these available data on repeated elements are derived using different repetitive sequence databases and quite different strategies for TE prediction. We thus performed our own prediction of TE contents in genomes of true mangroves and nonmangroves.

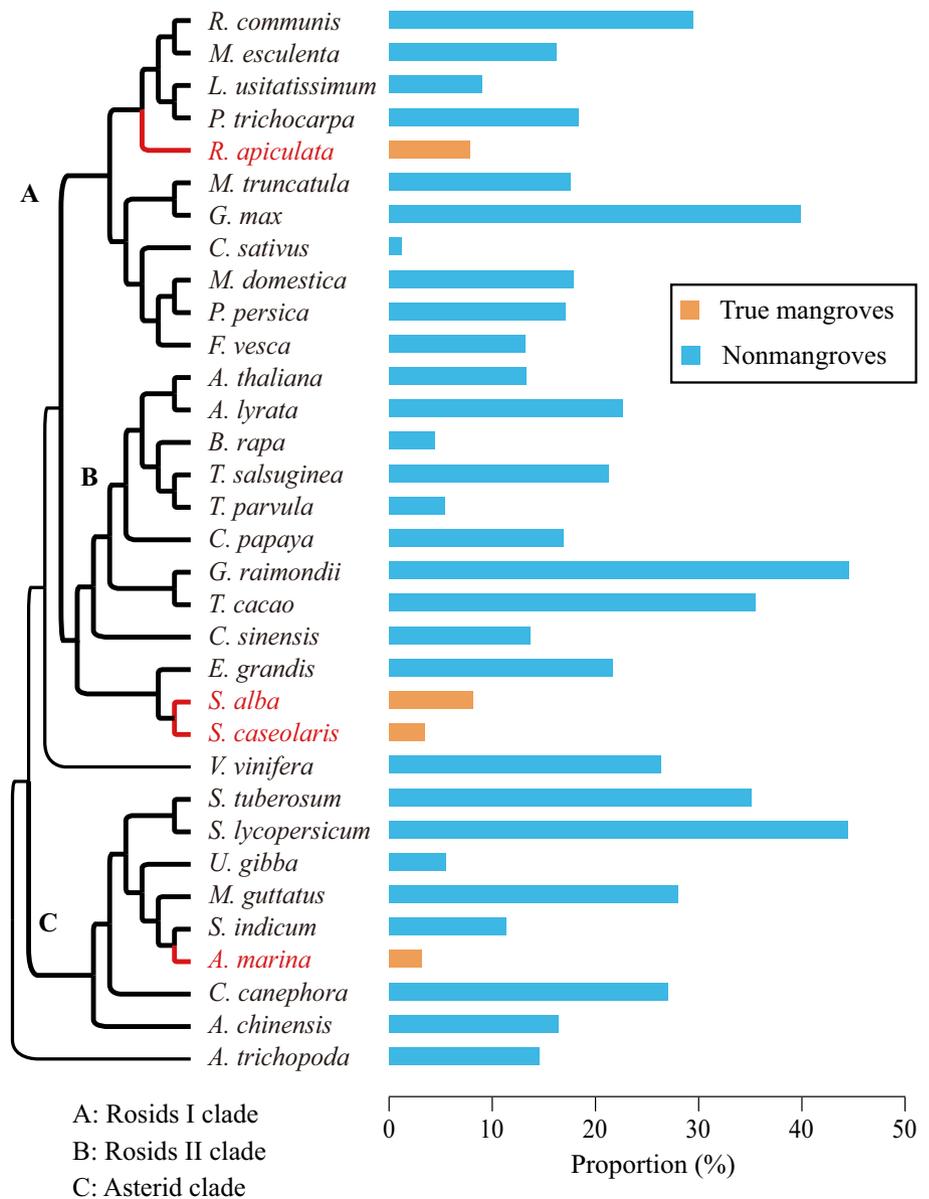
Transposable element sequences were identified in the genomes of four true mangroves and 29 nonmangroves using repetitive sequence databases, combined with *de novo* predicted LTR retrotransposons (Fig. S1; Table S1). The estimated genome

proportions covered by TEs range from 1.19% in *Cucumis sativus* (1C=367 Mb) to 44.5% in *Gossypium raimondii* (1C=880 Mb) (Fig. 1; Table S3). Among the mangrove genomes, 3.14% of the *A. marina* sequence (1C=507 Mb) consists of TEs. The fraction is 7.83% in *R. apiculata* (1C=274 Mb), 8.16% in *S. alba* (1C=284 Mb), and 3.49% in *S. caseolaris* (1C=259 Mb). Although the genome size of *A. marina* is the largest among the four *de novo* sequenced mangroves, it contains the smallest proportion of transposons. The fraction of the *S. caseolaris* genome occupied by TEs is less than half that of the closely related *S. alba*. TE contents of these true mangrove genomes are among the lowest in their respective clades (*R. apiculata* in the Rosids I clade, *S. caseolaris* and *S. alba* in the Rosids II clade, and *A. marina* in the Asterid clade) (Fig. 1). In addition, a phylogenetic analysis shows that the TE loss occurred on the terminal branches leading to mangrove species (Fig. S2). Overall, TE contents are significantly lower in

mangrove genomes than in the nonmangroves examined in the present study (*t*-test, *P*-value = 4.26e-06; Fig. 1). In contrast to most higher plant genomes, TE load reductions have occurred in all the true mangrove genomes we examined.

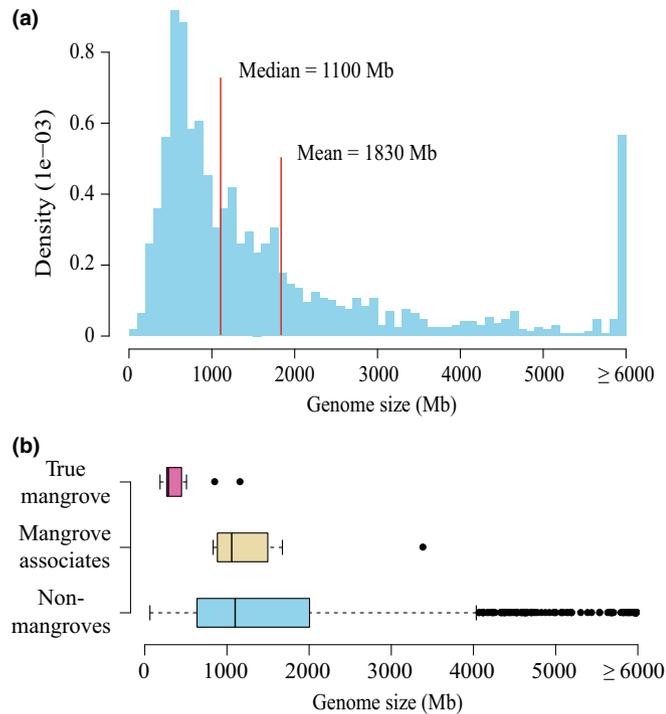
### Comparisons of mangrove genome sizes

Mangroves can be divided into true mangroves and mangrove associates, with the former being restricted to intertidal habitats and the latter growing in both intertidal and terrestrial zones (Tomlinson, 1986). We collected and estimated genome sizes of 12 true mangroves and seven mangrove associates from the main mangrove lineages which represent the majority of mangrove taxa across eight orders (Arecales, Ericales, Fabales, Lamiales, Malpighiales, Malvales, Myrtales and Sapindales) (Table S4). The overall distribution of nonmangrove genome sizes we collected is shown in Fig. 2(a). The mean size is



**Fig. 1** Proportions of transposable elements (TEs) in the genomes of four true mangroves and 29 nonmangroves. Comparison of the proportions of TEs in 33 whole genomes based on their phylogenetic relationships. The four true mangroves, *Avicennia marina*, *Rhizophora apiculata*, *Sonneratia alba*, and *Sonneratia caseolaris*, belong to three main clades, Asterid (C), Rosids I (A), and Rosids II (B), respectively. The TE proportions shown in the right panel are compared among true mangroves and nonmangroves.

1830 Mb and the median is 1100 Mb. True mangrove genomes are significantly smaller than genomes of close nonmangrove relatives (Wilcoxon rank sum test,  $P$ -value =  $7.596 \times 10^{-6}$ ; Fig. 2b). Although *Nypa fruticans* (1C = 1149 Mb) and *Aegiceras*



**Fig. 2** Comparison of genome size among true mangroves, mangrove associates, and closely related nonmangrove species. (a) Distribution of genome sizes of the nonmangrove relatives used in this study. The last bar represents the species with a genome size  $\geq 6000$  Mb. (b) Genome size distribution among true mangroves, mangrove associates, and closely related nonmangroves species. The error bars present the extremes of whiskers, indicating 1.5 times the interquartile range. The points denote outlier data which lie beyond the extremes of the whiskers. The true mangrove outliers are *Nypa fruticans* (1C = 1149 Mb) and *Aegiceras corniculatum* (1C = 841 Mb).

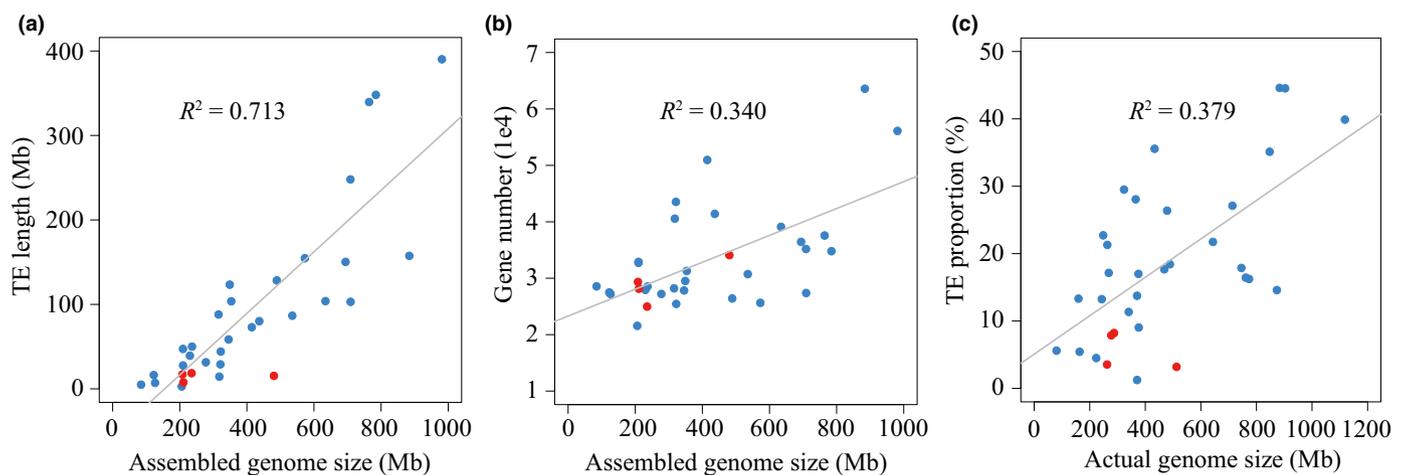
*corniculatum* (1C = 841 Mb) appear to be outliers among true mangroves, they still represent smaller genomes in comparison with their close nonmangrove relatives in Primulaceae or Arecaceae (Fig. S3; Table S5). By contrast, there is no significant difference between genome sizes of the seven mangrove associates and those of closely related nonmangrove species (Wilcoxon rank sum test,  $P$ -value = 0.6767; Figs 2b, S4; Table S5). We conclude that there is a uniform reduction in genome size specifically among true mangroves.

### Correlation between TE content and genome size

Regression of assembled genome size on a number of genomic features could help to identify attributes contributing to size variation (Hou & Lin, 2009; Tenaillon *et al.*, 2010). We estimated correlations between genome size and TE content and compared them with correlations between genome size and gene number. TE length exhibits an extremely high correlation coefficient with assembled genome size ( $R^2 = 0.712$ ;  $F$ -test,  $P$ -value =  $2.29 \times 10^{-10}$ ; Fig. 3a), while gene number shows a lower correlation ( $R^2 = 0.345$ ;  $F$  test,  $P$ -value =  $1.54 \times 10^{-4}$ ; Fig. 3b). In addition, the positive correlation between the proportion of TEs and actual genome size measured using flow cytometry indicates that large genomes bear higher proportions of TE sequences ( $R^2 = 0.379$ ;  $F$  test,  $P$ -value =  $8.16 \times 10^{-5}$ ; Fig. 3c). This suggests that TE load reduction is the most important driver of genome diminution in true mangroves.

### Age structure of intact LTR retrotransposons

The LTR-RTs are class I TEs with quite strictly defined characteristics. They are the most prevalent TEs in most plant genomes (Michael, 2014). We examined insertion time distributions of intact LTR-RTs to probe the effect of LTR-RTs on genome size change over the past several million yr. Three of the four mangrove species in our dataset exhibit a deficit in recent insertion



**Fig. 3** Regression analyses of genome size on genome features. (a) Regression analysis of transposable element (TE) lengths in the genomes of four true mangroves and 29 nonmangroves against assembled genome sizes. The red points denote true mangroves, and the blue ones refer to the nonmangroves. (b) Regression of assembled genome size on gene number. (c) Regression of the proportion of TEs in the assembled genomes on their actual genome sizes obtained using flow cytometry.

events. The insertion time distribution of intact LTR-RTs peaks *c.* 6 million yr ago in the genome of *A. marina* (Fig. 4a) and *c.* 4 million yr ago in *R. apiculata* and *S. alba* (Fig. 4b,c). The *S. caseolaris* genome does not present such a decrease, but the steady rate of insertion has been extremely low, even for mangroves (Fig. 4c). By contrast, nonmangrove relatives undergo much higher rates of LTR-RT insertion (Figs 4a–c, S5). Furthermore, the pace has steadily increased in the past 9 million yr in most species. Where it has decreased, the rate reduction has been more recent and TE levels have not come close to even the peak estimated historical values for mangroves (Fig. S5).

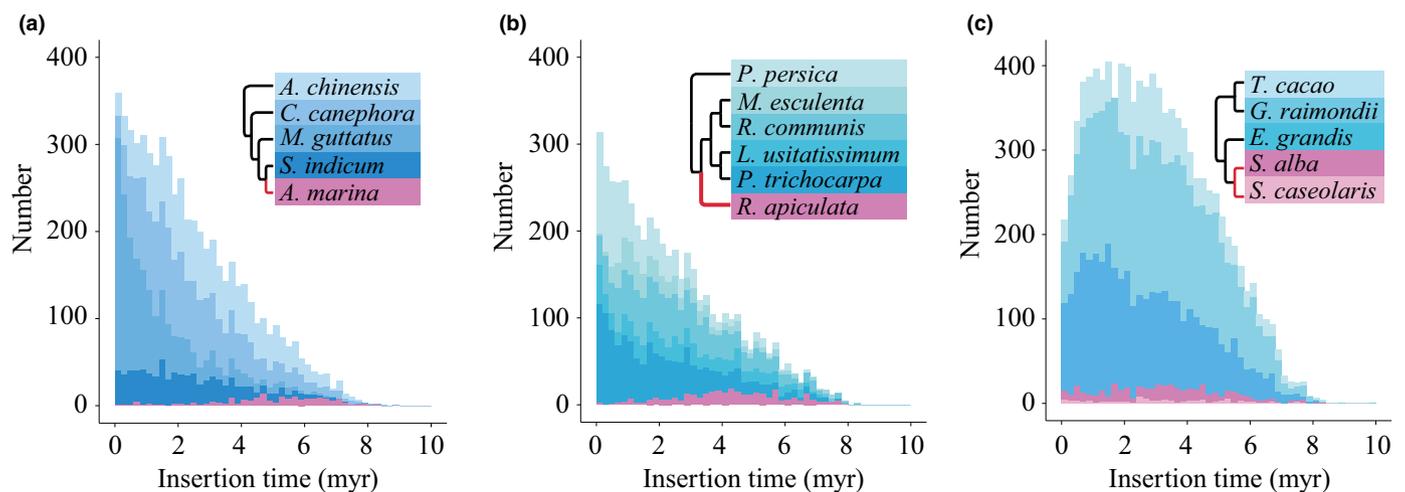
### Removal of LTR-RTs

Transposable element copy number change is a function of the difference between their birth and death rates. Thus, TE load reduction can occur either through the removal of extant TEs after they transpose (i.e. increasing death) or through the suppression of their transposition (i.e. decreasing birth), or a combination of the two (Tenailon *et al.*, 2010; Fedoroff, 2012). To explore how TE load reduction occurred in the genomes of true mangroves, we analyzed the proliferation and removal of LTR-RTs. First, we compared the numbers of intact LTR-RTs that remain after proliferation in the preceding 9 million yr. The results show that the intact LTR-RTs (marked *I* in Fig. 5) are maintained at a lower level in the genomes of true mangroves than in those of nonmangrove relatives (*t*-test, *P*-value = 2.23e-05; Fig. 5a; Table S6). Partial deletion (generating truncated LTR-RTs) and unequal or illegitimate homologous recombination (resulting in solo-LTRs) are the main known mechanisms of LTR-RT copy number reduction (Vitte & Bennetzen, 2006; Hawkins *et al.*, 2009). Control of birth rate can be achieved by limiting transposon mobility through epigenetic regulation (Law & Jacobsen, 2010; Blumenstiel, 2011). To estimate LTR-RT death rates, we compared the numbers of genome-wide

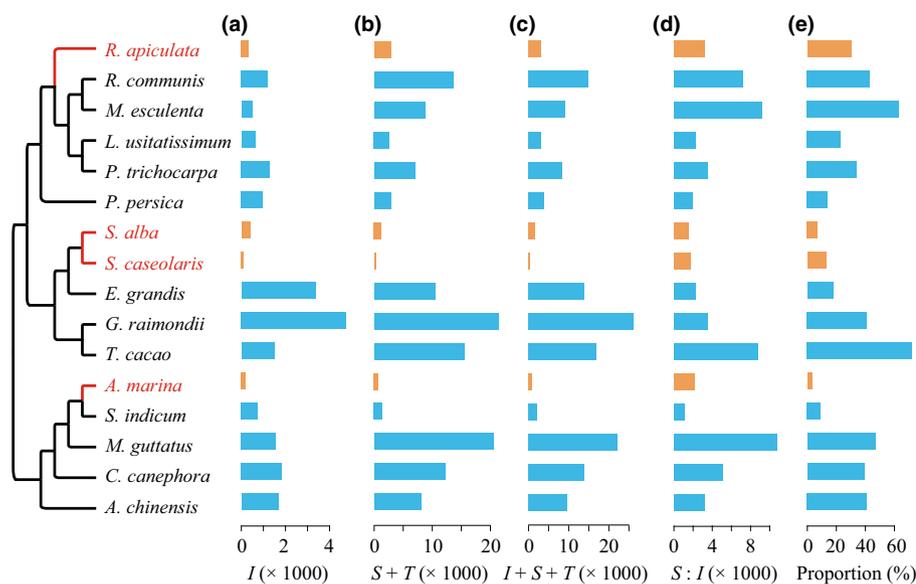
solo-LTRs (*S*; remnants of transposons deleted via recombination) and truncated LTR-RTs (*T*; generated through partial deletion). In almost all the genomes in this study, solo-LTRs are far more prevalent than truncated LTR-RTs (Table S6). The *S* + *T* values for mangroves are significantly smaller than those of all the 29 inland relatives (*t*-test, *P*-value = 5.26e-04; Fig. 5b; Table S6). In addition, the sums of intact LTR-RTs, solo-LTRs and truncated LTRs (*I* + *S* + *T*) in the genomes of true mangroves are significantly smaller than those in nonmangroves (*t*-test, *P*-value = 3.35e-04; Fig. 5c; Table S6).

Two confounding factors can complicate these results. First, most genomes in our dataset are assembled into scaffolds smaller than whole chromosomes. Second, there is a strong correlation between the numbers of LTR-RT removal signatures (truncated LTR-RTs and solo-LTRs) and the number of intact elements. We corrected for the potential influence of these factors by filtering out short scaffolds (Table S6) and then calculating ratios of truncated LTR-RTs or solo-LTRs to intact elements (*T*:*I* and *S*:*I* ratios). The genome of *R. apiculata* possesses the highest *S*:*I* ratio (estimated 3.19) among mangroves, while the calibrated *S*:*I* ratio is 2.14 in *A. marina*, 1.6932 in *S. alba*, and 1.50 in *S. caseolaris* (Table S6). Filtered *S*:*I* ratios are likewise significantly lower in the genomes of mangroves than in those of nonmangroves (*t*-test, *P*-value = 1.17e-02; Fig. 5d; Table S6). The patterns of calibrated *T*:*I* (truncated to intact) ratios in four mangrove genomes are consistent with corresponding *S*:*I* ratios. The *T*:*I* ratio in *R. apiculata* (2.74) is higher than in the other three mangroves (0.97 in *A. marina*, 1.24 in *S. alba*, and 0.78 in *S. caseolaris*). The calibrated *T*:*I* ratios reflect a different mechanism of TE removal and show no difference between mangroves and nonmangroves (*t*-test, *P*-value = 0.627; Table S6). As a result, the (*S* + *T*):*I* ratios of true mangroves are slightly smaller than those of nonmangroves (*t*-test, *P*-value = 9.47e-02; Table S6).

In addition to studying individual insertion/deletion events, we clustered LTR-RT sequences by similarity (irrespective of



**Fig. 4** Age structures of long terminal repeat-retrotransposons (LTR-RTs) in true mangroves and closely related nonmangroves. (a) Insertion time distribution of all intact LTR-RTs in *Avicennia marina* and four closely related nonmangroves, *Sesamum indicum*, *Mimulus guttatus*, *Coffea canephora*, and *Actinidia chinensis*. (b) Insertion time distribution of all intact LTR-RTs in *Rhizophora apiculata* and five related nonmangroves, *Populus trichocarpa*, *Linum usitatissimum*, *Ricinus communis*, *Manihot esculenta*, and *Prunus persica*. (c) Insertion time distribution of all intact LTR-RTs in *Sonneratia alba*, *Sonneratia caseolaris* and three related nonmangroves, *Eucalyptus grandis*, *Gossypium raimondii*, and *Theobroma cacao*. myr, millions of yr.



**Fig. 5** Birth and death of long terminal repeat-retrotransposons (LTR-RTs) in true mangroves and closely related nonmangroves. (a) Total numbers of LTR-RTs in genomes of true mangroves and their nonmangrove relatives. The red bars denote the four true mangroves. (b) Comparison of  $S + T$  values between four true mangroves and nonmangrove relatives.  $S$ , number of solo-LTRs;  $T$ , number of truncated LTR-RTs. (c) Total numbers of intact LTR-RTs and traces of LTR-RT deaths.  $I$ , number of intact LTR-RTs. (d) Ratios of solo-LTR to intact LTR-RT ( $S : I$ ). (e) The proportions of LTR-RTs found in the clusters with high removal rates (filtered  $S : I \geq 3$ ).

genome position; see the Materials and Methods section for details). Cluster-level  $S : I$  values reflect removal rates for that family. We considered groups with filtered  $S : I$  values higher than three to be particularly prone to removal (i.e. have a high cluster-level death rate). Looking at the proportion of LTR-RT families with high removal rates among all the clusters in a genome gives us a sense of the overall LTR-RT death rate. Although the proportions of highly removed LTR-RTs vary among mangroves (*R. apiculata* has the highest proportion of high-death-rate clusters), true mangroves harbor significantly smaller numbers of these families than the 29 nonmangroves in our dataset ( $t$ -test,  $P$ -value =  $2.16 \times 10^{-2}$ ; Fig. 5e; Table S6).

### Birth of LTR retrotransposons

The matrix population model is widely used in ecology to study population dynamics. It summarizes relationships among birth, death, immigration, emigration, and survival of individuals in populations (Caswell, 2001). We applied this model to describe LTR-RT evolution, taking into account the fact that immigration and emigration is negligible for transposons. Intact LTR-RTs ( $I$ ) can be truncated by partial deletion or eliminated by unequal and illegitimate homologous recombination, leaving truncated LTR-RTs ( $T$ ) and solo-LTRs ( $S$ ), respectively (Ma *et al.*, 2004; Zhao & Ma, 2013; Baidouri *et al.*, 2014). Thus, the numeric relationship between the death and birth of retrotransposons can be described by the equation:

$$I = B - D + K \quad \text{Eqn 1}$$

where  $B$  is the number of LTR-RTs generated in the past 9 million yr,  $D$  is the number of LTR-RT deaths leading to the appearance of solo-LTRs and truncated LTR-RTs ( $S + T$ ), and  $K$  is the number of ancient LTR-RTs. Because few ancient LTR-RTs are maintained in the current genome based on the analyses of age structures of LTR-RTs in each studied genome,  $K$  can be considered a constant. According to Eqn 1, the number of transposition events generating new LTR-RTs ( $B$ ) depends on the

number of both intact LTR-RTs ( $I$ ) and the traces of LTR-RT deaths ( $D$ , or  $S + T$ ). Thus, the number of LTR-RT births is equal to the sum  $I + S + T$  when  $K$  is close to zero. Looking at estimates of this value, we see that LTR retrotransposon birth rates are significantly lower in the host genomes of mangroves compared with nonmangrove relatives (Fig. 5c).

### Loss of LTR-RTs clusters in mangrove genomes

It has been known that mobile elements within abundant families are expected to experience high amounts of purifying selection, as a result of an increased opportunity for ectopic recombination (Petrov *et al.*, 2003, 2011). Thus, the distribution of element frequencies in families could inform us about how selection is acting. To gain further insight into the nature of selection for TE repression, we examined relative numbers of LTR-RT clusters (see the Materials and Methods section for details). First, only two of the higher plants in this study, *C. sativus* (37 clusters) and *U. gibba* (41 clusters), have fewer such clusters than our mangrove sample. We found 51 clusters in *A. marina*, 84 in *R. apiculata*, 77 in *S. alba*, and 51 in *S. caseolaris* (Table S6). By contrast, the mean cluster number among the nonmangroves in the same clade as *A. marina* (*Actinidia chinensis*, *C. canephora*, *Mimulus guttatus*, and *Sesamum indicum*) is 359.75; that among nonmangrove relatives of *R. apiculata* (*Linum usitatissimum*, *Manihot esculenta*, *Prunus persica*, *Populus trichocarpa*, and *Ricinus communis*) is 256.2; and that among *S. alba* and *S. caseolaris* relatives (*Eucalyptus grandis*, and *G. raimondii*) is 803 (Table S6; Fig. S6). This indicates that the cluster numbers have been considerably reduced in mangroves, which accounts for the bulk of overall LTR-RT loss. Second, we compared the cumulative frequencies of LTR-RTs in clusters between mangrove and closely related nonmangrove species (Fig. S6). Frequencies of LTR-RTs belonging to the 10 most frequent clusters are higher in mangroves than in nonmangroves. Thus, the reduction in TE prevalence in mangroves is attributable to loss of whole clusters (or most members in these clusters) at the less frequent end of the spectrum (Fig. S6).

## Discussion

### Genome size reduction in true mangroves is a result of cuts in TE load

All four species examined in this study, *A. marina*, *R. apiculata*, *S. alba*, and *S. caseolaris*, experience major removals of TE sequences during their invasion of intertidal habitats. This indicates that significant TE removals have occurred independently at least three times in true mangroves. In addition, as TE length has a significantly positive correlation with total genome size based on the analysis of 33 plant genomes, the smaller genome sizes in other true mangroves could provide additional evidence for pervasive TE load reductions. It appears that independent TE load reductions may have happened in all six investigated lineages of true mangroves as they invaded intertidal habitats.

Many studies have reported that transposons could be under purifying selection, leading to a compact genome when the host invades stressful environments (Hu *et al.*, 2011; Ibarra-Laclette *et al.*, 2013; Kelley *et al.*, 2014; Zhang *et al.*, 2014). Even within the same species, maize landraces at high altitude tend to possess smaller genome sizes and lower TE contents than those at low altitude, probably driven by natural selection (Díez *et al.*, 2013; Bilinski *et al.*, 2017). Three mechanisms underlying deleterious effects have been discussed to explain purifying selection acting against TE insertions: direct disruptive effects of insertions (Hollister & Gaut, 2009; Naito *et al.*, 2009); deleterious TE product expression (McDonald *et al.*, 1997; Wang *et al.*, 2013); and chromosomal aberrations arising from ectopic recombination among TEs (Chan & Kolodner, 2011; Robberecht *et al.*, 2013). It is generally believed that TE-mediated genome instability would be accelerated in the face of environmental insults such as high salinity and strong UV radiation (Pfeiffer *et al.*, 2000; Schuermann *et al.*, 2005; Argueso *et al.*, 2008), leading to enhanced long-term selection pressures on the TE load.

According to our observations, elimination of most mobile elements leading to a reduced TE load and genome size may be a convergent strategy employed by mangrove hosts adapting to new stressful environments. This is in addition to convergent change in amino acid composition in mangrove genomes (Xu *et al.*, 2017a). Furthermore, this pattern reveals that although the activities of a few TEs could have been induced by abiotic and biotic stress (Grandbastien, 1998; Feschotte *et al.*, 2002), long-term selection pressures seem to have counteracted this effect. While the initial stress of adapting to the new environment might have precipitated a drive towards a smaller genome in mangroves via selective pressure, the pressure to maintain a small genome persists despite the adaptation of mangroves to their new habitat.

### Reduction of transposition during mangroves' transition to new habitats

As TE load represents a balance between transposition and removal, two mechanisms can operate to reduce TE load: removal of TEs already present in the genome (increasing death rates) or suppression of proliferation before it happens (decreasing birth rates)

(Hawkins *et al.*, 2009; Blumenstiel, 2011; Fedoroff, 2012). In most cases, hosts increase the death rates to counteract mobile element proliferation (Vitte & Panaud, 2003; Pereira, 2004; Baidouri & Panaud, 2013). By contrast, according to our analyses and Eqn 1, significantly fewer deaths and births of LTR-RTs are observed in mangrove genomes. Reduced  $S:I$  and  $D:I$  ratios in true mangrove genomes strongly suggest a reduction in death rates regardless of the abundance of intact LTR-RTs, suggestive of weakened mechanisms of TE removal by partial deletion or unequal and illegitimate homologous recombination. Based on these results, reductions of both death and birth rates have occurred in true mangrove genomes starting *c.* 9 million yr before present. On the other hand, as indicated by age structure, the continuously diminishing numbers of intact LTR-RTs indicate that lower total LTR-RT length is mainly attributable to a deficit in young LTR-RTs. Thus, the trend of TE load shrinkage has continued up to the very recent past. In conclusion, after a massive deletion of mobile elements during invasion of stressful environments, mangroves evolved a convergent strategy of reducing transposition rate to keep TE loads low.

Abundant clusters are more likely to have been subjected to selection (Petrov *et al.*, 2003, 2011). Thus, if the decrease in TE birth rate is driven by adaptation, we would see a reduction of cluster sizes in these abundant clusters (Barrón *et al.*, 2014). We observe the opposite: it is the small rare clusters that are missing in the mangrove genomes. This suggests that nonadaptive processes, such as genetic drift and mutation, might have played a role in the repressions of LTR-RT activities over the last 9 million yr. Furthermore, because several molecular processes are associated with the frequency distribution, the possibility that the repressions of LTR-RTs are adaptive remains to be further confirmed.

### Genome size of mangrove associates

Although reductions in total TE length and genome size have occurred in the genomes of true mangroves, this trend is not observed in the mangrove associates we examined. This difference might be explained by the fact that the mangrove associates exhibit two types of plant growth in distinct habitats. Gene flow between terrestrial and intertidal populations might counteract purifying selection and prevent TE load reduction in the intertidal populations of mangrove associates (Jian *et al.*, 2004). In addition, mangrove associates are less adapted to highly saline environments compared with true mangroves (Yang *et al.*, 2011; Liang *et al.*, 2012), perhaps resulting in a different pattern of TE load in these taxa. Deeper sampling of mangrove associates for genomic studies should enable us to investigate these possibilities. Whole genome sequencing of additional true mangroves and population genomic analyses will provide further direct opportunities to study how host genomes act on TEs and elucidate molecular mechanisms underlying host–TE interaction.

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## Author contributions

S.S., C-I.W. and H.L. planned and designed the research. H.L. performed experiments and conducted fieldwork. H.L. and Z.H. analyzed the data. H.L. wrote the manuscript.

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## Supporting Information

Additional Supporting Information may be found online in the Supporting Information tab for this article:

**Fig. S1** Work flow for prediction of intact LTR-RTs, TE load, and truncated LTR-RTs and Solo-LTR.

**Fig. S2** Phylogenetic comparison of TE loads with ancestral state reconstruction.

**Fig. S3** Genome size comparisons between true mangroves and nonmangrove relatives.

**Fig. S4** Comparison of genome size between mangrove associates and nonmangrove relatives.

**Fig. S5** Insertion time distributions of LTR-RT genomes of 17 nonmangroves.

**Fig. S6** Cumulative distributions of LTR-RT frequencies in mangroves and nonmangroves.

**Table S1** Version and source of all whole genome sequences in this study

**Table S2** Repetitive sequence and TE proportions from collected genomic information

**Table S3** Genome size and TE contents within each genome

**Table S4** Genome size data of mangroves used in this study

**Table S5** Genome size of nonmangroves in each family

**Table S6** The number of original and filtered intact LTR-RTs, solo-LTRs and truncated LTRs

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